

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 16869G-087200US

First Inventor Nishiyama, Nobumasa

Title MAGNETIC RECORDING DISK DRIVE AND
METHOD FOR ENSURING MAGNETIC RECORDING
FUNCTION THEREOF

Express Mail Label No. EV 348 062 444 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning design patent application contents.</small>		ADDRESS TO	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 22]</small> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) <small>[Total Sheets 10]</small> 5. Oath or Declaration <small>[Total Pages]</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies 	
ACCOMPANYING APPLICATIONS PARTS			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>			
11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)			
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations			
13. <input type="checkbox"/> Preliminary Amendment			
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>			
15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>			
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent			
17. <input type="checkbox"/> Other:			

19270 U.S.PTO
10/677686

093003

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number

20350

OR Correspondence address below

Name					
Address					
City			State		Zip Code
Country			Telephone		Fax

Name (Print/Type)

David N. Slone

Registration No. (Attorney/Agent)

28,572

Signature

David Slone

Date

September 30, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450. 60043693 v1

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 918

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Nishiyama, Nobumasa
Examiner Name	
Art Unit	
Attorney Docket No.	16869G-087200US

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$750)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims		Fees from below	Fee Paid
	Independent Claims	Multiple Dependent		
8	-20** =	0	X\$18	\$0
5	-3** =	2	X\$84	\$168
			X	
SUBTOTAL (2)		(\$168)		

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	1053 130	1804 920*	Surcharge - late filing fee or oath	
1052 50	2052 25	1812 2,520	1804 920*	Surcharge - late provisional filing fee or cover sheet.	
1053 130	1805 1,840*	1812 2,520	1805 1,840*	Non-English specification	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	1252 410	2252 205	Extension for reply within first month	
1253 930	2253 465	1254 1,450	2254 725	Extension for reply within second month	
1255 1,970	2255 985	1256 1,970	2256 985	Extension for reply within third month	
1401 320	2401 160	1402 320	2402 160	Extension for reply within fourth month	
1403 280	2403 140	1404 280	2404 140	Notice of Appeal	
1451 1,510	1451 1,510	1452 110	2452 55	Filing a brief in support of an appeal	
1453 1,300	2453 650	1454 1,300	2454 650	Request for oral hearing	
1501 1,300	2501 650	1502 470	2502 235	Petition to institute a public use proceeding	
1503 630	2503 315	1504 630	2504 315	Petition to revive – unavoidable	
1460 130	1460 130	1461 1,510	1461 1,510	Petition to revive – unintentional	
1807 50	1807 50	1808 180	1808 180	Utility issue fee (or reissue)	
1809 750	2809 375	1810 750	2810 375	Design issue fee	
1811 630	2811 315	1812 630	2812 315	Plant issue fee	
1801 750	2801 375	1802 900	1802 900	Petitions to the Commissioner	
1803 50	1803 50	1804 180	1804 180	Petitions related to provisional applications	
1805 180	1805 180	1806 180	1806 180	Submission of Information Disclosure Stmt	
1807 40	1807 40	1808 40	1808 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	1810 750	2810 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1811 630	2811 315	1812 630	2812 315	For each additional invention to be examined (37 CFR § 1.129(b))	
1813 750	2813 375	1814 750	2814 375	Request for Continued Examination (RCE)	
1815 900	1815 900	1816 900	1816 900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY					
Name (Print/Type)	David N. Slone	Registration No. (Attorney/Agent)	28,572	Telephone	650-326-2400
Signature				Date	September 30, 2003

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